

Technical Sheet

QUALIFICATION SYSTEM

suppliers of goods, service providers and contractors

Rolling Stock Purchase

Subsystem

SER-MMR

**Economic and financial
requirements**

| Categories of Supply | Description of delivery |
|----------------------|------------------------------|
| SER-MMR-01 | Maintenance of Rolling Stock |
| SER-MMR-02 | |
| SER-MMR-03 | |

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| Economic and financial requirements for access and methods of proof |
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The applicant who intends to apply for and obtain qualification for the above-mentioned Categories must be in possession of:

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| 1 | Global Turnover | <p>A Global Turnover, achieved in the last 3 (three) financial years closed prior to the date of transmission of the Application for Qualification or in the shortest period of activity of the company, not less than 1.5 (one point five) times the maximum value of the Ruling Class relating to the Category for which the qualification is requested.</p> <p>In the case of applications for more than one Category, the above conditions must be met with reference to the category with the highest ruling Class for which qualification is requested.</p> <p>In order to demonstrate the possession of the above requirement, the applicants are required to submit a copy of the following documents:</p> <p>In the case of corporations or consortia:</p> <ol style="list-style-type: none"> 1. Financial statements (balance sheet and income statement) filed for the last 3 (three) financial years, prepared pursuant to articles 2423 et seq. The Italian Civil Code, including the Explanatory Notes, the Directors' Report, the Report of the Board of Statutory Auditors or the Sole Auditor where applicable, the Minutes of approval of the Financial Statements, the Report of the Independent Auditors where applicable, the Note on the filing of the Financial Statements with the Chamber of Commerce and the declaration certifying the conformity of the original of the financial statements and accompanying documents, pursuant to D.P.R. 445/2000; 2. The minutes of the Extraordinary Shareholders' Meeting, pursuant to art. 2446 and 2447 of the Italian Civil Code, in the event of operating losses exceeding one third of the share capital; 3. details of payables and receivables, specifying their nature (commercial or financial) and their maturity (short-term or medium/long-term), as well as a detailed indication of any financial fixed assets in subsidiaries or associates (Article 2359 of the Italian Civil Code), if the financial statements are prepared in abbreviated form. <p>In the case of a partnership or sole proprietorship:</p> <ol style="list-style-type: none"> 1. complete financial statements for the last three financial years (balance sheet, assets and liabilities and income statement) supplemented by details of payables and receivables, divided into commercial and financial and by maturity, in the short |
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| | | <p>or medium/long term (a copy of the accounting statements must be submitted accompanied by the declaration in lieu of the affidavit certifying conformity to the original);</p> <p>2. UNICO forms, or equivalent documents according to the law of the State to which they belong, relating to the income of the last three financial years, complete with all the annexes (income from buildings – business – from professional activity).</p> <p>In the case of foreign subjects:</p> <p>3. equivalent documents providing a complete accounting statement (balance sheet and income statement) for each of the required financial years.</p> <p>In the case of recently established entities (meaning those who, due to the date of incorporation, are unable to submit at least two financial statements/accounting statements):</p> <p>4. available balance sheet/financial statement (if any) containing the balance sheet and income statement for the year ended.</p> |
| 2 | Specific turnover | <p>A Specific Turnover relating to the last 3 (three) financial years closed prior to the date of transmission of the Application for Qualification or in the shortest period of activity of the company, not less than 1 (one) times the maximum value of the Class of Ruling relating to the Category for which the qualification is requested.</p> <p>In the case of applications for more than one Category, the above conditions must be met with reference to each category for which qualification is requested.</p> <p>In order to demonstrate the possession of the above requirement, the applicants are required to submit a declaration issued by the Auditor or Independent Auditors or by the Board of Statutory Auditors of the Company or by the Sole Auditor, where applicable, with the appropriate requirements for the purposes of accounting control or by the Accountant registered in the Register of Auditors, certifying the specific turnover (net of VAT) relating to the Category of goods/services/works for which registration has been requested; or, alternatively, a copy of the invoices receipted by the Client, necessary to reach the amount of the Class of interest, accompanied by a certificate of conformity to the original digitally signed by the legal representative/attorney.</p> |
| 3 | Correction factor of dependence | <p>For the assignment of the ruling class relating to the product categories linked to this subsystem, the correction factor based on the percentage value of the Dependency Index (Idip) will be applied according to the weight referred to in nr. 1) Annex 7 to the General Regulation of Qualification Systems.</p> |